

London Borough of Enfield

Health and Adult Social Care Scrutiny Panel 16TH of March 2022

Subject: Introduction of Care Quality Commission Inspections of Local Authorities.

Cabinet Member: Cllr Alev Cazimoglu
Executive Director: Tony Theodoulou

Purpose of Report

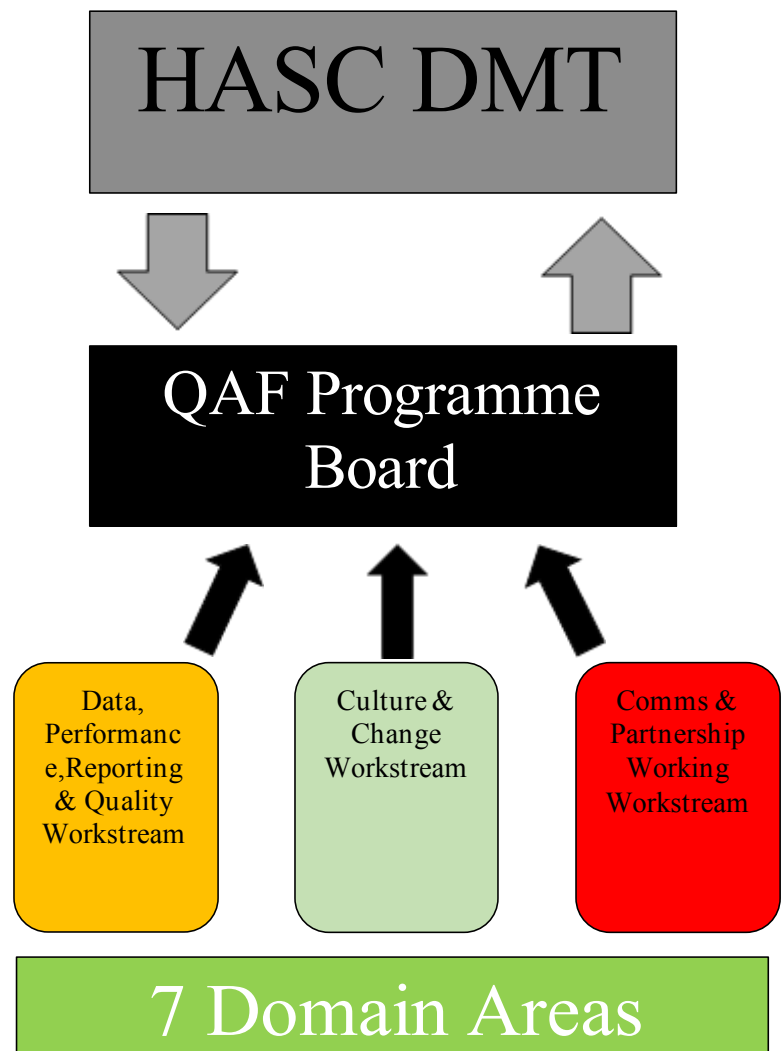
To report on the introduction of the new duty for the Care Quality Commission to assess how Local Authorities are meeting their Adult Social Care duties and how Enfield Adult Social Care are preparing for these inspections.

Background

1. On 11 February 2021, the Department of Health and Social Care (DHSC) published the White Paper, **Integration and innovation: working together to improve health and social care for all**, which sets out legislative proposals for a health and care Bill. The White Paper brings together proposals that build on the recommendations made by NHS England and NHS Improvement in **Integrating Care: next steps to building strong and effective integrated care systems across England** with additional recommendations relating to the Secretary of State's powers over the system and targeted changes to public health, social care, and quality and safety matters. In recognition of the increasing numbers of people who need adult social care and the consequent need for greater oversight of the provision and commissioning of services, the White Paper proposes introducing a new duty for the Care Quality Commission (CQC) to assess how local authorities are meeting their adult social care duties, and a new power for the Secretary of State to intervene where CQC considers a local authority to be failing to meet these duties.
2. CQC's new responsibilities under the Health and Care Bill are twofold. Firstly, there will be a role in reviewing Integrated care Systems and secondly a new duty is placed on CQC to assess how local authorities are meeting their social care duties under part 1 of the Care Act.
3. Under the Care Act, local authorities have duties to make sure that people who live in their areas:
 - Receive services that prevent their care needs from becoming more serious, or delay the impact of their needs

- Can get the information and advice they need to make good decisions about care and support
 - Have a range of high quality, appropriate services to choose from
4. Emerging CQC scope for reviews of Adult Social Care has started to be shared. It is apparent that the initial focus on Local Authorities Adult Social Care functions will be across the following four key themes.
- **Working with People**
 - Assessing Needs
 - Supporting People to live healthier lives
 - **Providing support**
 - Care Provision, Integration and continuity
 - Partnerships and communities
 - **Ensuring Safety**
 - Safe systems, pathways and transitions
 - Safeguarding
 - **Leadership and Workforces**
 - Capable, compassionate and inclusive leaders
 - Learning, improvement and innovation
 - Workforce equality, diversity and inclusion
5. CQC will use a variety of methods to evidence a Council's Adult Social Care functions as follows:
- People Experience
 - Feedback from Partners
 - Feedback from staff and leaders
 - Observations
 - Process
 - Outcomes and performance data
6. Adult Social Care (excluding regulated service provision) has not been subject to regulation of this kind for over ten years. The timetable for reviews to begin is April 2023. Enfield, as in other Councils, have had a period of austerity and resultant cuts in funding have forced Councils to prioritise front line service delivery, often to the detriment of other vital types of services seen as nice to have. Engagement with our local population, strategy and communications are included in these to name but a few.
7. The final legislation (the Health and Care Bill 2021) has not yet completed its passage through parliament so no new regulations are expected for some time yet. However, we have anticipated as best we can what the new regulatory framework will look like and a programme board has been established in order to identify areas where we feel further work and development is needed.

8. To date the board have met a number of times during our scoping exercises and twice as an official Programme Board. The Quality Assurance Programme Board meets every 6 weeks. There are 3 workstreams, each with their own action plan and risk register. These workstreams are chaired by 2 x Adult Social Care (ASC) service managers and staffed by various members of Adult Social Care.
9. The 3 workstreams are, 'Culture and Change', 'Communication & Partnership Working' and 'Data, Performance, Reporting and Quality'. These workstreams meet every 2 – 3 weeks in order to track the progress of tangible outcomes. They submit a highlight report in time for the Programme Board and report directly to the board on progress, risks and issues and any mitigating actions, as well as planned progress next reporting period. The workstream leads also take turns in submitting monthly reports to the ASC Directors management team.



Here is an example of key achievements to date on each of the programme workstreams:

Culture & Change:

- Revised Supervision Policy drafted and working group put together to finalise
- Strengths-based practice framework and toolkit drafted and awaiting finalisation by the strengths-based working group
- Integration between Mental Health Trust and ASC systems being further explored
- The sharing of best practice is being explored with Digital Services
- Collaboration with Employee Experience Programme

Data, Performance, Reporting and Quality:

- Existing reporting identified and under review
- Operational managers have been interviewed regarding their 'ideal' reporting requirements
- Reports being developed / amended to spec, both for operational staff, senior management and residents (Local Account)
- Power BI reporting tool linked to Eclipse to produce reporting dashboards

Comms and Partnership Working:

- Working groups set up with Healthwatch and other partnership agencies to improve partnership working
- Independent Living Strategy signed off at DMT and market engagement in process
- Market Position Statement finalised and going out for engagement
- Market Facilitation Strategy shared with DMT and going out for market engagement
- ASC intranet page – updated and refreshed to include links to useful resources. My Life updated, Service/Team areas updated

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